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Carmen Matos Michael

Name of Applicant, Assignee or
Registered Representative

Carmen Matos Michael

Signature

12/5/03

Date of Signature

Our Case No.: 8627/314

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dusan Pavcnik et al.

Serial No.: 10/662,216

Filing Date: September 12, 2003

For: RETRIEVABLE FILTER

Examiner:

Group Art Unit No.:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

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No.	Date	Name
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6,416,530	07/09/02	DeVries et al.
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In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

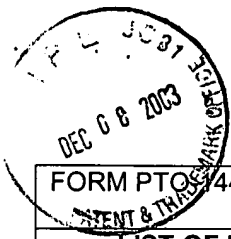
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Carmen Matos Michael", written over a horizontal line.

Carmen Matos Michael
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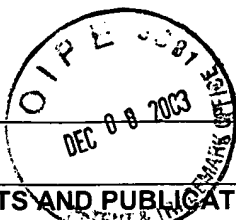
FORM PTO 1449	SERIAL NO. 10/662,216	CASE NO. 8627/314
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 12, 2003	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Dusan Pavcnik et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,506,205	01/14/03	Goldberg et al.		
	A2	6,416,530	07/09/02	DeVries et al.		
	A3	6,342,063	01/29/02	DeVries et al.		
	A4	6,342,062	01/29/02	Suon et al.		
	A5	6,328,755	12/11/01	Marshall		
	A6	6,327,772	12/11/01	Zadno-Azizi et al.		
	A7	6,325,815	12/04/01	Kusleika et al.		
	A8	6,319,281	11/20/01	Patel		
	A9	6,315,793	11/13/01	Bokros et al.		
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	A12	6,273,901	08/14/01	Witcher et al.		
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	A19	6,241,763	06/05/01	Drasler et al.		
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	A23	6,221,091	04/24/01	Khosravi		
	A24	6,217,600	04/17/01	DiMatteo		
	A25	6,214,025	04/10/01	Thistle et al.		
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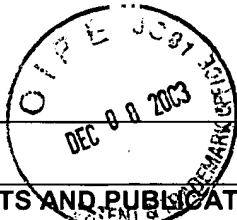
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A110	5,147,379	09/15/92	Sabbaghian et al.		
	A111	5,133,733	07/28/92	Rasmussen et al.		
	A112	5,108,418	04/28/92	Lefebvre		
	A113	5,104,399	04/14/92	Lazarus		
	A114	5,059,205	10/22/91	El-Nounou et al.		
	A115	5,041,126	08/20/91	Gianturco		
	A116	5,035,706	07/30/91	Gianturco et al.		
	A117	4,969,891	11/13/90	Gewertz		
	A118	4,954,126	09/04/90	Wallsten		
	A119	4,873,978	10/17/89	Ginsburg		
	A120	4,832,055	05/23/89	Palestrant		
	A121	4,817,600	04/04/89	Hermes et al.		
	A122	4,800,882	01/31/89	Gianturco		
	A123	4,793,348	12/27/88	Palmaz		
	A124	4,781,177	11/01/88	Lebigot		
	A125	4,727,873	03/01/88	Mobin-Uddin		
	A126	4,688,553	08/25/87	Metals		
	A127	4,655,771	04/07/87	Wallsten		
	A128	4,643,184	02/17/87	Mobin-Uddin		
	A129	4,619,246	10/28/86	Molgaard-Nielsen et al.		
	A130	4,580,568	04/08/86	Gianturco		
	A131	4,494,531	01/22/85	Gianturco		
	A132	4,425,908	01/17/84	Simon		
	A133	4,218,793	08/26/03	Reul et al.		
	A134	3,952,747	04/27/76	Kimmell Jr.		
	A135	3,810,367	05/15/74	Peterson		
	A136	3,654,773	04/11/72	White		
	A137	3,540,431	11/17/70	Mobin-Uddin		
	A138	US 2002/0032414	03/14/02	Ragheb et al.		
	A139	US 2001/0041928	11/15/01	Pavcnik et al.		
	A140	US 2001/0039450	11/08/01	Pavcnik et al.		
	A141	US 2001/0023358	09/20/01	Tsukernik		
	A142	US/2001/0011187	08/02/01	Pavcnik et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/662,216	CASE NO. 8627/314
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 12, 2003	GROUP ART UNIT Unassigned
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EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A143	DE 4030998 A1	04/18/92	Germany	Yes Abstract
	A144	DE 3417738 A1	11/14/84	Germany	Yes Abstract
	A145	WO 00/66031	11/09/00	PCT	
	A146	WO 98/23322	06/04/98	PCT	
	A147	WO 95/09567	04/13/95	PCT	
	A148	FR 2,666,980	09/26/90	France	Yes Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A149	N. Nakagawa, <i>A Retrievable Nitinol Vena Cava Filter: Experimental and Initial Clinical Results</i> , Journal of Vascular and Interventional Radiology, May-June, 1995, pp. 507-512
	A150	Z. Y Xian et al., <i>In Vitro Evaluation of a New Temporary Venous Filter: The Spring Filter</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York (1995) Vol. 18, pp. 315-320
	A151	B. S. Kuszyk et al., <i>Subcutaneously Tethered Temporary Filter: Pathologic Effects in Swine</i> , Journal of Vascular and Interventional Radiology, November-December, 1995, pp. 895-202
	A152	C. Cope et al., <i>Temporary Use of a Bird's Nest Filter During Iliocaval Thrombolysis</i> , Radiology, 1996, pp. 765-767
	A153	G. Bovyn et al., <i>The Tempofilter; A Multicenter Study of a New Temporary Caval Filter Implantable for up to Six Weeks</i> , Annals of Vascular Surgery, Vol. II, No. 5 1997, pp. 520-528
	A154	L. D. Vos et al., <i>The Gunther Temporary Inferior Vena Cava Filter for Short-Term Protection Against Pulmonary Embolism</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1997, Vol. 20, pp. 91-97
	A155	H. Lorch et al., <i>In Vitro Studies of Temporary Vena Cava Filters</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1998, Vol. 21, pp. 146-150
	A156	M. Zwaan et al., <i>Clinical Experience with Temporary Vena Caval Filters</i> , Journal of Vascular and Interventional Radiology, 1998, Vol. 9, pp. 594-601
	A157	J. Hosaka et al., <i>In Vitro Function of an Adjustable Temporary Venous Spring Filter</i> , Academy of Radiology, September 1998, Vol. 5, No. 9, pp. 620-625
	A158	S. F. Millward et al., <i>Temporary and Retrievable Inferior Vena Cava Filters: Current Status</i> , CardioVascular and Interventional Radiology, May-June 1998, Vol. 9, No. 3, pp. 381-387
	A159	G. Lund et al., <i>A New Vena Caval Filter for Percutaneous Placement and Retrieval: Experimental Study</i> , Radiology 1984, Vol. 152, pp. 369-372
	A160	D. W. Hunter et al., <i>Retrieving the Amplatz Retrievable Vena Cava Filter</i> , CardioVascular and Interventional Radiology, Springer-Verlag New York 1987, Vol. 10, pp. 32-36
	A161	G. P. Teitelbaum et al., <i>Insertion and Recovery of a New Retrievable Vena Caval Filter</i> , Investigative Radiology, July 1988, Vol. 23, pp. 527-533

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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	A162	J. Neuerburg et al., <i>New Retrievable Percutaneous Vena Cava Filter: Experimental In Vitro and In Vivo Evaluation</i> , CardioVascular, Springer-Verlag New York 1993, Vol. 16, pp. 224-229
	A163	T. Irie et al., <i>Retrievable IVC Filter: Preliminary in Vitro and in Vivo Evaluation</i> , Journal of Vascular and Interventional Radiology, May-June 1995, Vol. 6, pp. 449-454
	A164	T. M. Vesely et al., <i>Preliminary Investigation of the Erie Inferior Vena Caval Filter</i> , Journal of Vascular and Interventional Radiology, July-August 1996, Vol. 7, pp. 529-535
	A165	A. Dibie et al., <i>In Vivo Evaluation of a Retrievable Vena Cava Filter - The Dibie-Musset Filter: Experimental Results</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1998, Vol. 21, pp. 151-157
	A166	G. S. Dorfman, <i>Percutaneous Inferior Caval Filters</i> , Radiology 1990, Vol. 174, pp. 987-992
	A167	S. Kadir, <i>Stent Placement for Caval and Tracheobronchial Stenoses</i> , Current Practice of Interventional Radiology, B. C. Decker Inc. Philadelphia, pp. 208-212.

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